



# Change of Registration Form

(Use this form for non-retirement accounts only)

**Regular Mail:**  
The Tocqueville Trust  
U.S. Bank Global Fund Services  
PO Box 701  
Milwaukee, WI 53201-0701

**Overnight Delivery:**  
The Tocqueville Trust  
U.S. Bank Global Fund Services  
615 E. Michigan St., FL3  
Milwaukee, WI 53202-5207

» This form should be used to change the registration of an existing account. A New Account Application must accompany the form unless noted.

## 1 Current Account Registration

<input type="text"/>	<input type="text"/>
NAME OF TAXABLE OWNER / MINOR / TRUST	SOCIAL SECURITY NUMBER / TAX ID
<input type="text"/>	<input type="text"/>
NAME OF JOINT OWNER / CUSTODIAN / TRUSTEE	SOCIAL SECURITY NUMBER / TAX ID
<input type="text"/>	<input type="text"/>
NAME OF JOINT OWNER / TRUSTEE	SOCIAL SECURITY NUMBER / TAX ID
<input type="text"/>	<input type="text"/>
FUND NAME	ACCOUNT NUMBER
<input type="text"/>	<input type="text"/>
FUND NAME	ACCOUNT NUMBER
<input type="text"/>	<input type="text"/>
FUND NAME	ACCOUNT NUMBER
<input type="text"/>	<input type="text"/>
PERMANENT STREET ADDRESS	DAYTIME TELEPHONE NUMBER
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
CITY	STATE
<input type="text"/>	ZIP CODE

**Note:** If the address listed above differs from the address currently in our records, by signing this form you authorize us to update all accounts associated with the Social Security number(s) or Tax ID number(s) provided with the new address.

## 2 Reason for Registration Change *(please select one)*

- Individual or Joint Account To Trust or Entity Account *(complete the Individual or Joint Account to Trust or Entity Account section and Signatures section)*
- Remove or add an account owner or trustee *(complete the Remove or Add an Account Owner or Trustee section and Signatures section)*
- Relinquish UTMA/UGMA account to taxable owner who has reached age of majority *(complete the Relinquish UTMA/UGMA to Taxable Owner section and Signatures section)*
- Change custodian on UTMA/UGMA account due to resignation of current custodian *(complete the Change Custodian on UTMA/UGMA section and Signatures section)*
- Gift shares to an individual or entity *(complete the Gift Shares to an Individual or Entity section and Signatures section)*

### 3 Individual or Joint Account to Trust or Entity Account

For Trust accounts, a complete copy of your trust agreement or a notarized Certificate of Trust is required.  
For Entity accounts, Entity documentation and a list of authorized signers are required.

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NAME OF TRUST / ENTITY

SOCIAL SECURITY NUMBER / TAX ID

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TRUSTEE / AUTHORIZED SIGNER

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TRUSTEE / AUTHORIZED SIGNER

Please attach a separate sheet if there are more than two trustees or authorized signers.

**Signature Requirements:**

- All current account owners must sign in the Signatures section.
- If a current account owner is not listed as a grantor of the trust, they must obtain a signature guarantee in the Signatures section.
- If reregistering to an Entity, all account owners must obtain a signature guarantee in the Signatures section.

### 4 Remove or Add an Account Owner or Trustee

For Trust accounts, a complete copy of your trust agreement or a notarized Certificate of Trust is required.

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ACCOUNT OWNER / TRUSTEE TO REMOVE

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ACCOUNT OWNER / TRUSTEE TO REMOVE

--

ACCOUNT OWNER / TRUSTEE TO ADD

--

ACCOUNT OWNER / TRUSTEE TO ADD

**Signature Requirements:**

- All current account owners must sign in the Signatures section.
- Any account owner or trustee to be removed must obtain a signature guarantee in the Signatures section.

### 5 Relinquish UTMA/UGMA to Taxable Owner (taxable owner has reached age of majority or age of termination)

Please note that the age of majority and age of termination for UTMA/UGMA accounts differs by state.

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NAME OF TAXABLE OWNER (FORMER MINOR)

DATE OF BIRTH (MM / DD / YYYY)

PHONE NUMBER

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PERMANENT STREET ADDRESS

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CITY / STATE / ZIP CODE

**Signature Requirements:**

- The custodian must sign in the Signatures section and obtain a signature guarantee.

## 6 Change of Custodian on UTMA/UGMA (current custodian is resigning)

An application is not required. Please note that the new custodian must be an adult member of the minor's family, the guardian for the minor, or a trust company. Please complete the information below for the new custodian.

Note: In compliance with the USA PATRIOT Act, all mutual funds are required to obtain the information requested below for all registered account owners and all authorized individuals. This information is used to verify your true identity. We will return the form if any of this information is missing, and we may request additional information for verification purposes.

[ ]		
NAME OF NEW CUSTODIAN		
[ ]	[ ]	
SOCIAL SECURITY NUMBER / TAX ID	DATE OF BIRTH (IF APPLICABLE)	
[ ]		[ ]
PERMANENT STREET ADDRESS (P.O. BOX IS NOT ACCEPTABLE)		DAYTIME TELEPHONE NUMBER
[ ]	[ ]	[ ]
CITY	STATE	ZIP CODE
[ ]		[ ]
MAILING ADDRESS (IF APPLICABLE)		ALTERNATE TELEPHONE NUMBER
[ ]	[ ]	[ ]
CITY	STATE	ZIP CODE

### Signature Requirements:

- The current custodian must sign in the Signatures section and obtain a signature guarantee.
- The new custodian must sign below, or they may submit a separate signed letter of acceptance.

By signing below, I accept the appointment to act as the custodian on the account(s) referenced in the Current Account Registration section. I also confirm that the information listed above is correct.

\_\_\_\_\_  
SIGNATURE OF NEW CUSTODIAN

\_\_\_\_\_  
DATE

## 7 Gift of Shares to an Individual or Entity

The date of the gift is deemed the date we receive all documentation required to process the transfer unless otherwise noted.

Note to recipient(s) of gift: If shares are gifted at a loss and you have chosen Average Cost as your cost basis method, the Fair Market Value of the shares as of the date of the gift will be applied.

### Amount of Gift:

Dollar amount to be gifted \$ \_\_\_\_\_

Number of shares to be gifted \_\_\_\_\_

### Deposit to:

An Existing Account Number \_\_\_\_\_

A New Account (an application must accompany the form)

[ ]	
NAME OF GIFT RECIPIENT	
[ ]	[ ]
SOCIAL SECURITY NUMBER / TAX ID	DATE OF BIRTH (IF APPLICABLE)

## 7 Gift of Shares to an Individual or Entity - *continued*

### Amount of Gift:

Dollar amount to be gifted \$ \_\_\_\_\_

Number of shares to be gifted \_\_\_\_\_

### Deposit to:

An Existing Account Number \_\_\_\_\_

A New Account (an application must accompany the form)

NAME OF GIFT RECIPIENT

SOCIAL SECURITY NUMBER / TAX ID

DATE OF BIRTH (IF APPLICABLE)

Please attach a separate sheet if gifting to more than two recipients.

### Signature Requirements:

- All current account owners must sign in the Signatures section and obtain a signature guarantee.

## 8 Signature & Certification

Please review the applicable Signature Requirements prior to completing this section.

I certify that all information provided on this Change of Registration Form is accurate and agree to hold U.S. Bank Global Fund Services harmless for any actions taken as a result of the information I have provided. I understand that I am responsible for any tax consequences which may result from the information I have provided.

A signature guarantee or a signature validation may be obtained from an officer of a bank, savings association, credit union, a member firm of a domestic stock exchange, or the Financial Industry Regulatory Authority, that is an eligible guarantor institution. A notary public from a financial institution is also able to provide an acceptable guarantee only if indicated within the Signature Requirements for the section(s) you have completed. The notary public's business card or a signed letter from the notary public on the financial institution's letterhead must accompany the form.

SIGNATURE

DATE

Account Owner  Trustee  Custodian  Other \_\_\_\_\_

SIGNATURE

DATE

Account Owner  Trustee  Custodian  Other \_\_\_\_\_

SIGNATURE

DATE

Account Owner  Trustee  Custodian  Other \_\_\_\_\_

SIGNATURE GUARANTEE/NOTARY STAMP

DATE \_\_\_\_\_